

Oracle Financial Services  
FATCA Regulatory Reporting  
**Administration and Configuration Guide**

*Release 8.0.5.0.0*

*October 2017*





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FATCA Regulatory Reporting  
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*Release 8.0.5.0.0*  
*October 2017*

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Oracle Financial Services Software, Inc.  
1900 Oracle Way  
Reston, VA 20190

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# About This Guide

This guide provides comprehensive instructions to perform the administration activities to configure OFS FATCA Regulatory Reporting.

This chapter focuses on the following topics:

- Who Should Use this Guide
- How this Guide is Organized
- Where to Find More Information
- Conventions Used in this Guide

## Who Should Use this Guide

The *OFS FATCA Regulatory Reporting Administration and Configuration Guide* is designed for Oracle Financial Services Installers and System Administrators. Their roles and responsibilities, as they operate within OFS FATCA Regulatory Reporting, include the following:

- **FATCA Administrator:** Configures, maintains, and adjusts the system and is usually an employee of a specific Oracle Financial Services customer. The System Administrator maintains user accounts and roles, monitors data ingestion and case management, archives data, loads data feeds, and performs post-processing tasks.
- **FATCA RR Administrator:** This user is responsible for managing the static data used in the application, creating application users, mapping users to user groups, and configuring the various parameters used in the application.
- **ECM Administrator:** This user is responsible for mapping security attributes to users.

## How this Guide is Organized

The *Oracle Financial Services FATCA Regulatory Reporting Administration and Configuration Guide*, includes the following topics:

- Chapter 1, *Creating Users*, explains how to create users and provide access to OFS FATCA Regulatory Reporting.
- Chapter 2, *Mapping Users to User Group*, discusses mapping users to user groups.
- Chapter 3, *Adding Client-Specific Security Attributes*, explains the steps to add client-specific security attributes to OFS FATCA Regulatory Reporting.
- Chapter 4, *Loading Metadata into OFS FATCA RR*, explains the steps to load client provided data.
- Chapter 5, *Configuring Parameter*, explains the steps to configure report due date, report lock time period, and FATCA reporting year.

- Chapter 6, *FATCA RR Batch Execution*, explains the steps to run batch process periodically.
- Appendix A, *Excel Upload*, explains the steps to perform Excel upload.

## **Where to Find More Information**

For additional information about OFS FATCA Regulatory Reporting, refer to the following documents:

- *OFS FATCA Regulatory Reporting User Guide*
- *FATCA Administration and Configuration Guide*
- *Enterprise Case Management User Guide*
- *FATCA Assessment Guide*

**Note:** The FATCA Assessment Guide is required only if you are using the FATCA application.

To find additional information about how Oracle Financial Services solves real business problems, see our website at [www.oracle.com/financialservices](http://www.oracle.com/financialservices).

## **Conventions Used in this Guide**

Table 1 lists the conventions used in this guide.

**Table 1. Conventions Used in this Guide**

| <b>Convention</b> | <b>Meaning</b>   |
|-------------------|--|
| <i>Italics</i>    | <ul style="list-style-type: none"><li>● Names of books, chapters, and sections as references</li><li>● Emphasis</li></ul>  |
| <b>Bold</b>       | <ul style="list-style-type: none"><li>● Object of an action (menu names, field names, options, button names) in a step-by-step procedure</li><li>● Commands typed at a prompt</li><li>● User input</li></ul>   |
| Monospace         | <ul style="list-style-type: none"><li>● Directories and subdirectories</li><li>● File names and extensions</li><li>● Process names</li><li>● Code sample, including keywords and variables within text and as separate paragraphs, and user-defined program elements within text</li></ul> |
| <Variable>        | Substitute input value   |

This chapter explains the steps to create users. OFS FATCA Regulatory Reporting has predefined user groups to access the application.

## ***Setting Users***

To set a user and provide user access to OFS FATCA Regulatory Reporting, follow these steps:

1. For creating users, refer to the *Oracle Financial Services Analytical Applications Infrastructure User Guide*.
2. Once the users are created, map the users to predefined user groups, which in turn maps the user to a user role.

For more information on mapping users to user groups, refer to *Chapter 2*.

For more information on setting users, refer to the *Oracle Financial Services Analytical Applications Infrastructure User Guide*.



This chapter discusses mapping users to user groups.

The following table describes the predefined User Roles and corresponding User Groups present in OFS FATCA Regulatory Reporting.

**Table 2. User Roles and User Groups**

| User Role               | Group Name          | User Group Code |
|-------------------------|---------------------|-----------------|
| FATCA Report Analyst    | RR FATCA Analyst    | RRANAFATCA      |
| FATCA Report Supervisor | RR FATCA Supervisor | RRSUPFATCA      |
| FATCA Report Auditor    | RR FATCA Auditor    | RRAUDFATCA      |
| FATCA Report Admin      | RR FATCA Admin      | RRADMINFATCA    |

**Note:** If FATCA RR is installed and implemented with OFSFCCM/OFSFATCA Assessment, do not create a separate FATCA Report Admin. Instead, map the RR FATCA Admin group to the existing OFSFCCM/OFSFATCA Assessment Admin users.

For more information about User to User Group mapping, refer to the *Oracle Financial Services Analytical Applications Infrastructure User Manual*.

## Creating Users with Due Date as View Only

To create users with a Due Date as View Only, un-map the **FATCA Report Edit Due Date** rule and map **FATCA Report View Due Date** role, for the selected group.

By default, all groups are mapped to have editable Due Dates on the UI.

For more information on due dates, refer to the *OFS FATCA Regulatory Reporting User Guide*.

For more information on User Group to Role mapping, refer to the *Oracle Financial Services Analytical Applications Infrastructure User Manual*.





# *Adding Client-Specific Security Attributes*

To add client-specific security attributes to OFS FATCA Regulatory Reporting, follow these steps:

1. Download the following Excel sheets from <ftpshare path>/STAGE/Excelupload/Templates to the Windows machine. The <ftpshare path> is the same path given in variable APP\_DRIVE\_TM while installing OFSAAI. For more information, refer to the *Oracle Financial Services Analytical Applications Infrastructure Installation and Configuration Guide*.
  - DIM\_DOMAIN1.xlsx: DIM\_DOMAIN1.xlsx should be filled with Jurisdictions which are available in OFSFCCM.
  - DIM\_DOMAIN2.xlsx: DIM\_DOMAIN2.xlsx should be filled with Business Domains which are available in OFSFCCM.
  - DIM\_DOMAIN3.xlsx: DIM\_DOMAIN3.xlsx should be filled with Legal Entities which are available in OFSFCCM.
2. Upload the Excel data. For more information on steps to perform Excel Upload, refer to *Appendix A*.
3. Navigate to **Administration menu > User Administration > Regulatory Report User's Attribute Administration**. This will take you to a drop-down list with all the OFS FATCA RR users created. Assign attributes to each user from each drop-down list.
4. Close the Regulatory Report User's Attribute Administration screen.
5. Logout from the OFS FATCA Regulatory Reporting application.



# Loading Metadata into OFS FATCA RR

OFS FATCA Regulatory Reporting uses the following client-provided data as per their business requirements:

- Reporting Institution Details
- Reporting Institution to Report Type Mapping
- Filing Institution Details
- Filing Institution to Report Type Mapping
- User Information

Follow these steps to load the data into OFS FATCA RR:

1. To Download the Excel sheets, navigate to <ftpshare path>/STAGE/Excelupload/AMCMLookupfiles/TempLat. to Windows machine. The path for <ftpshare path> is the same path as given in variable placeholder ##FTPSHARE\_PATH## in OFSAAI\_InstallConfig.xml.
2. Download the following Excel sheets from the path given in the previous step:
  - DIM\_REPORTING\_INSTITUTION.xlsx
  - MAP\_REPINST\_REPTYPE.xlsx
  - DIM\_FILING\_INSTITUTION.xlsx

**Note:**

- While creating report populate the filer information based on “ACCT.LEGAL\_NTITY\_ID” from “DIM\_FILING\_INSTITUTION” table.
  - Filing Institution table has field called DIM\_FILING\_INSTITUTION.V\_LEGAL\_ENTITY\_ID. There should be one to one mapping between DIM\_FILING\_INSTITUTION.V\_LEGAL\_ENTITY\_ID and ACCT.LEGAL\_NTITY\_ID.
  - “DIM\_FILING\_INSTITUTION” also has “V\_FILING\_TYPE\_CNTRY”, it explains whether the report is for UK, CA or US.
- MAP\_FILINST\_REPTYPE.xlsx
  - DIM\_USERS.xlsx
  - FCT\_BRCONTACT\_INFO.xlsx
  - MAP\_DMN2\_ACCTNUMTYPE.xlsx
3. Add data in each Excel sheet as per your installation requirement.
  4. Upload the Excel data. For more information on steps to perform Excel Upload, refer to *Appendix A*

**Note:** There are some static length check validation done on the specific field while creating reports. The list of those fields are shown below:

**Table 3. Length Validation Details**

| Table Name                | Field Name       | UK                                      | Canada   |
|---------------------------|------------------|---|--|
| DIM_FILING_INSTITUTION    | V_USER_ID        | The length validation is 10 digit only  | NA   |
| DIM_FILING_INSTITUTION    | V_REGISTER_ID    | The length validation is 13 digits only | The length validation is 15 digits only  |
| DIM_FILING_INSTITUTION    | V_GIIN           | NA                                      | The length validation is 19 digits only  |
| FCT_BRCONTACT_INFO        | N_CONTACT_AREACD | NA                                      | The length validation is 3 digits only   |
| FCT_BRCONTACT_INFO        | N_CONTACT_PHONE  | NA                                      | The length validation Requirement is 3 digit numeric with a (-), followed by 4 numeric |
| DIM_REPORTING_INSTITUTION | V_GIIN           | NA                                      | The length validation is 19 digits only  |

**Report Lock Period**

If a user forgets to log off from the OFS FATCA Regulatory Reporting application or if the screen is closed while accessing a report, the report gets locked for a pre-configured duration. By default, the duration is 60 minutes. This duration can be altered as per your requirement.

**Table 3. Report Lock Period**

| Attribute Name                | Value  | Default pre-packaged value | Behavior  | SQL  |
|-------------------------------|--------|----------------------------|---|--|
| FATCA _ Reporting_Lock_Period | Number | 60 Minutes                 | Time Limit in minutes till which the report will be locked, until a user logs off from the report or application. | UPDATE FATCA_SETUP_PARAMS<br>SET N_SUB_PARAM_NB_1_VAL=<br>'<DURATION IN MINUTES>'<br>WHERE N_PARAM_KEY= 14;<br>COMMIT; |

**Due Date****Table 4. Due Date**

| Attribute Name  | Value | Default pre-packaged value | Behavior   | SQL   |
|-----------------|-------|----------------------------|--|---|
| Due_DATE_Params | Date  |                            | When an RR report is created manually or via an action from an alert or case, the application automatically sets the due date to the date mentioned in the column. | UPDATE FATCA_SETUP_PARAMS<br>SET D_SUB_PARAM_DT_1_VAL =<br>'<Date which should be set<br>as default due date for the<br>report >' WHERE N_PARAM_KEY<br>= 12;COMMIT; |

**Note:** Default due date will be Rep\_Yr\_End\_Dt, if not specified in Due\_DATE\_Params.

## FATCA Reporting Year

This parameter provides the details of the financial year for FATCA Reporting.

**Table 5. FATCA Reporting Year**

| Attribute Name  | Value | Default pre-packaged value | Behavior                             | SQL   |
|-----------------|-------|----------------------------|--------------------------------------|---|
| Rep_Yr_Start_Dt | Date  |                            | Start date of FATCA reporting period | UPDATE FATCA_SETUP_PARAMS<br>SET D_SUB_PARAM_DT_1_VAL =<br>'<START_DATE>' WHERE<br>N_PARAM_KEY = 13;<br>COMMIT; |
| Rep_Yr_End_Dt   | Date  |                            | End date of FATCA reporting period   | UPDATE FATCA_SETUP_PARAMS<br>SET D_SUB_PARAM_DT_2_VAL =<br>'<END_DATE>' WHERE<br>N_PARAM_KEY = 13;<br>COMMIT;   |

FATCA Reports can be generated through a batch process that can be executed periodically such as Daily, Weekly, Monthly, Quarterly, and Half-yearly depending on an organization's requirement.

You can configure the FATCA Reports batches as per the business process requirements of the organization. The OFS FATCA Regulatory Reporting has the **FATCA\_RR batch** that assesses accounts and create Individual and Pooled FATCA RR Reports.

This chapter details the configuration of Batches and includes steps to do the following:

- Scheduling a Batch
- Running a Batch through Fire Run
- Monitoring a Batch After Execution
- Cancelling a Batch after Execution
- Re-starting a Batch
- Re-running a Batch

## ***Scheduling a Batch***

Ensure all the required servers, that is, ICC, Router, and Message are up and running before executing a batch. For more information on starting servers, refer to the *Oracle Financial Services Analytical Applications Infrastructure Installation and Configuration Guide*.

When an organization wants to run the batches periodically, a FATCA Administrator user can schedule the batches to run either once, daily, weekly, or months.

**Note:** Before scheduling a batch, ensure that the ICC router and message server are up and running. For more information on starting ICC router and message server, refer to the *Oracle Financial Services Analytical Applications Infrastructure User Manual Release 7.3*

This section includes the following topics:

- Scheduling a Batch Once
- Scheduling a Daily Batch
- Scheduling a Weekly Batch
- Configuring a Monthly Batch

**Note:** Before starting a batch, you must update the TSNNames.ora file and the dbname column of the dsnmater and DB\_master tables. Information about these actions can be found in the *Installation Guide*.

## Scheduling a Batch Once

To schedule a batch that you want to run only once, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Operations** from the LHS menu.
3. Click **Batch Scheduler**. The Batch Scheduler page is displayed.

The screenshot displays the 'Batch Scheduler' interface. At the top, there is a search bar with 'AMINFORR2' entered. Below the search bar, there is a table of batch entries. The first entry is selected, showing 'AMINFORR2\_1405692971384' and 'AutoRun\_1395677595549\_Description'. Below the table, there is a 'Batch Scheduler' section with 'Domain' set to 'AMINFORR2' and 'Batch' set to 'AMINFORR2\_1405692971384'. The 'Schedule' section is expanded, showing 'New Schedule' selected. The 'New Schedule' section has 'Schedule Name' empty and 'Once' selected for frequency. The 'Schedule Time' section has 'Start Date' set to '01-07-2014' and 'Run Time' set to '00Hours 00Minutes 0Days'. There are 'Save' and 'Cancel' buttons at the bottom.

Figure 1. Batch Scheduler Page

4. Select a batch that you want to schedule from the list of available batches. The Batch Scheduler section expands and displays additional options.
5. Select **New Schedule**.
6. Set the frequency of the new schedule as **Once** by selecting the radio button.
7. Enter the schedule time of the batch by specifying the **Start Date** and the **Run Time**.
8. Click **Save**.



## Scheduling a Daily Batch

To schedule a batch that you want to run daily, follow these steps:

1. Navigate to the Batch Scheduler page.
2. Select a batch that you want to schedule from the list of available batches. The Batch Scheduler section expands and displays additional options.
3. Click **New Schedule**.
4. Set the frequency of the new schedule as **Daily**.
5. Enter the schedule time of the batch by specifying the **Dates**, **Run Time**, and **Every** field information.

The screenshot shows the 'Batch Scheduler' application window. It includes a search section with filters for Batch ID, Module, and Last Modification Date. A table lists several batches, with the first one selected. Below the table, the 'Batch Scheduler' section shows the selected batch details. The 'New Schedule' section is active, showing the frequency set to 'Daily' and the schedule time set to '5 Days'.

| Batch ID  | Batch Description                 |
|---|-----------------------------------|
| <input checked="" type="checkbox"/> AMINFORR2_1405692971384 | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405694268264            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405695393271            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405942469375            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405942846820            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405944134206            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMINFORR2_1405944751221            | AutoRun_1395677595549_Description |

**Batch Scheduler**

Domain: AMINFORR2      Batch: AMINFORR2\_1405692971384

Schedule:  New Schedule  Existing Schedule

**New Schedule**

Schedule Name: \_\_\_\_\_

Once  Daily  Weekly  Monthly  Adhoc

**Schedule Time**

Dates: Start Date 01-05-2014      End Date 31-05-2014

Run Time: \_\_\_\_\_ 00 Hours      \_\_\_\_\_ 00 Minutes      Lag: \_\_\_\_\_ 0 Days

Every: \_\_\_\_\_ 5 Days

[ Save ]      [ Cancel ]

**Figure 2. Scheduling a Daily Batch**

6. Click **Save**.

## Scheduling a Weekly Batch

To schedule a batch that you want to run weekly, follow these steps:

1. Navigate to the Batch Scheduler page.
2. Select a batch that you want to schedule from the list of available batches. The Batch Scheduler section expands and displays additional options.
3. Click **New Schedule**.
4. Set the frequency of the new schedule as **Weekly**.
5. Enter the schedule time of the batch by specifying the **Dates**, and other information such as **Run Time**, **Every**, and **Working days of the Week**.

The screenshot shows the 'Batch Scheduler' web interface. At the top, there is a search section with fields for 'Batch ID Like' (containing 'AMNFORR2\_'), 'Batch Description Like', 'Module', and 'Last Modification Date'. Below this is a 'Server Time' section showing '21/07/2014 21:14:36'. The main section is a table of batch names with columns for 'Batch ID' and 'Batch Description'. The first row is selected, showing 'AMNFORR2\_1405692971384' and 'AutoRun\_1395677595549\_Description'. Below the table is the 'Batch Scheduler' configuration section, where 'Domain' is 'AMNFORR2' and 'Batch' is 'AMNFORR2\_1405692971384'. The 'Schedule' type is set to 'New Schedule'. In the 'New Schedule' section, the frequency is set to 'Weekly'. The 'Schedule Time' section shows 'Start Date' as '01-05-2014' and 'End Date' as '31-05-2014'. The 'Run Time' is set to '10 Hours' and '00 Minutes' with a 'Lag' of '0 Days'. The 'Every' field is set to '2 Weeks'. Under 'Working days of the Week', 'Monday' and 'Friday' are selected with checkboxes.

Figure 3. Scheduling a Weekly Batch

6. Click **Save**.

## Configuring a Monthly Batch

To schedule a batch that you want to run monthly, follow these steps:

1. Navigate to the Batch Scheduler page.
2. Select a batch that you want to schedule from the list of available batches. The Batch Scheduler section expands and displays additional options.
3. Click **New Schedule**.
4. Set the frequency of the new schedule as **Weekly**.
5. Enter the schedule time of the batch by specifying the **Dates**, and **Run Time** information.

The screenshot displays the Oracle Batch Scheduler interface. At the top, there is a search bar with fields for 'Batch ID Like' (containing 'AMNFORR2\_') and 'Batch Description Like'. Below this is a table of available batches. The 'Batch Scheduler' section is expanded, showing 'Domain' as 'AMNFORR2' and 'Batch' as 'AMNFORR2\_1405692971384'. The 'New Schedule' section is active, with 'Schedule Name' set to an empty field and the frequency set to 'Monthly'. The 'Schedule Time' section is configured with 'Start Date' as '01-05-2014' and 'End Date' as '31-05-2014'. The 'Run Time' is set to '10 Hours' and '00 Minutes' with a 'Lag' of '0 Days'. The 'Occurrence' is set to 'First of the weekday Monday'. The 'Save' and 'Cancel' buttons are visible at the bottom.

| Batch ID   | Batch Description                 |
|--|-----------------------------------|
| <input checked="" type="checkbox"/> AMNFORR2_1405692971384 | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405694268264            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405695393271            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405942469375            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405942846820            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405944134206            | AutoRun_1395677595549_Description |
| <input type="checkbox"/> AMNFORR2_1405944751221            | AutoRun_1395677595549_Description |

Figure 4. Configuring a Monthly Batch

6. Click **Save**.

## Running a Batch through Fire Run

When you want run a batch once without using the Batch Scheduler option, you can run a batch using Fire Run. To run a batch through Fire Run, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Rules Framework** from the LHS menu.
3. Click **Run**. The Run Rules Framework page is displayed on the RHS.



Figure 5. Run Rules Framework Page

4. Select **FATCA\_RR** from the Run List using the check box. The action buttons in the List header are enabled.
5. Click **Fire Run**. The Run Rule Framework window is displayed.

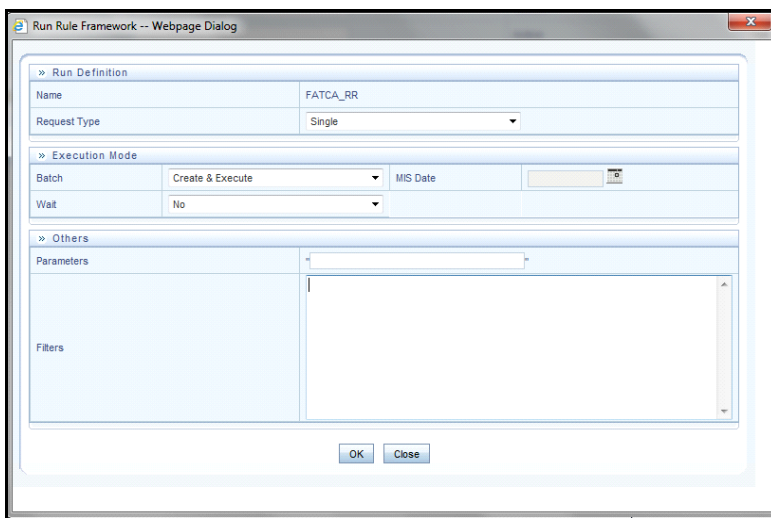


Figure 6. Run Rule Framework Window

6. Select Request Type as **Single**.
7. From the Execution Mode section, select **Create and Execute** from the Batch drop-down list. An MIS Date field is displayed adjacently.
8. Select an MIS Date using the calendar icon.
9. Select **No** from the Wait drop-down list.

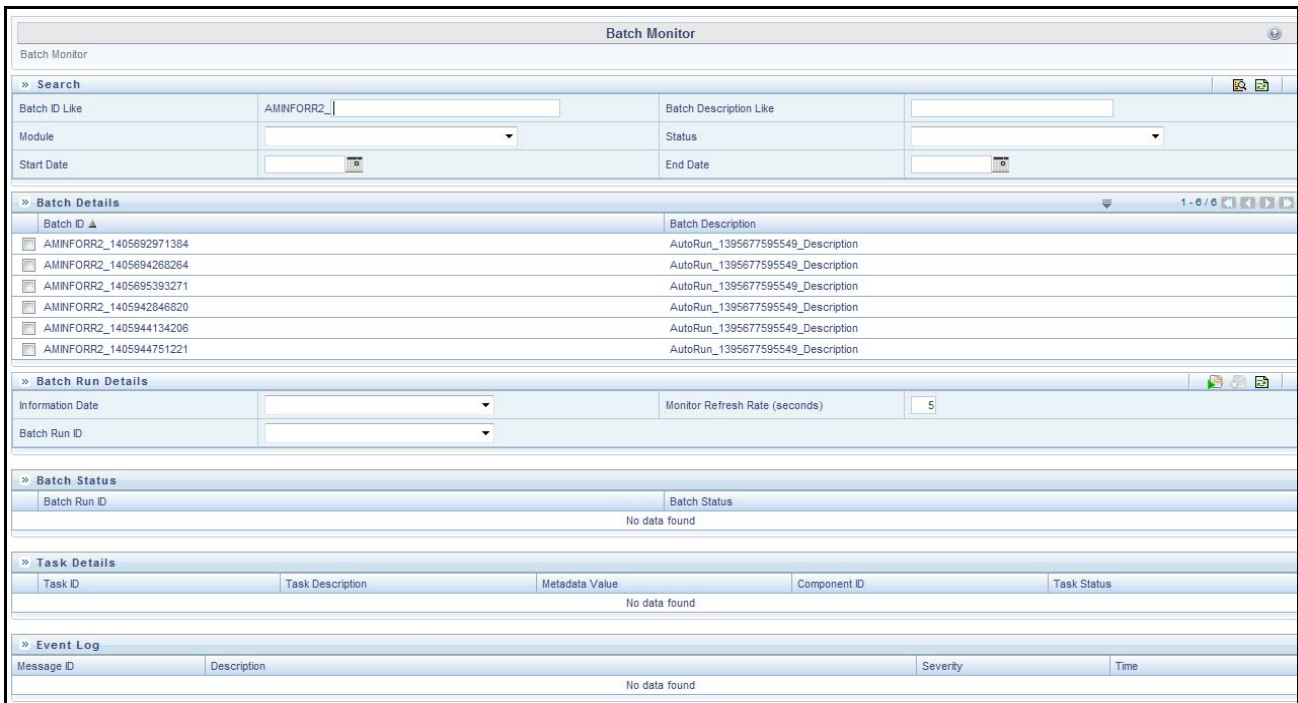
10. Click **OK**.

## Monitoring a Batch After Execution


Monitoring a batch helps you track the status of execution of an individual task that was included in the batch. Through monitoring, you can also track the batch status, which in turn helps in debugging.

To monitor a batch after it is executed, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Operations** from the LHS menu.
3. Click **Batch Monitor**. The Batch Monitor page is displayed.



**Figure 7. Batch Monitor Page**

4. Select a batch from the Batch Details lists that you want to monitor.
5. From the Batch Run Details section, select an Information Date and the Batch Run ID from the drop-down list.
6. Click  to start the monitoring.

The execution details namely, Batch Status, Task Details, and Event Log details are displayed.

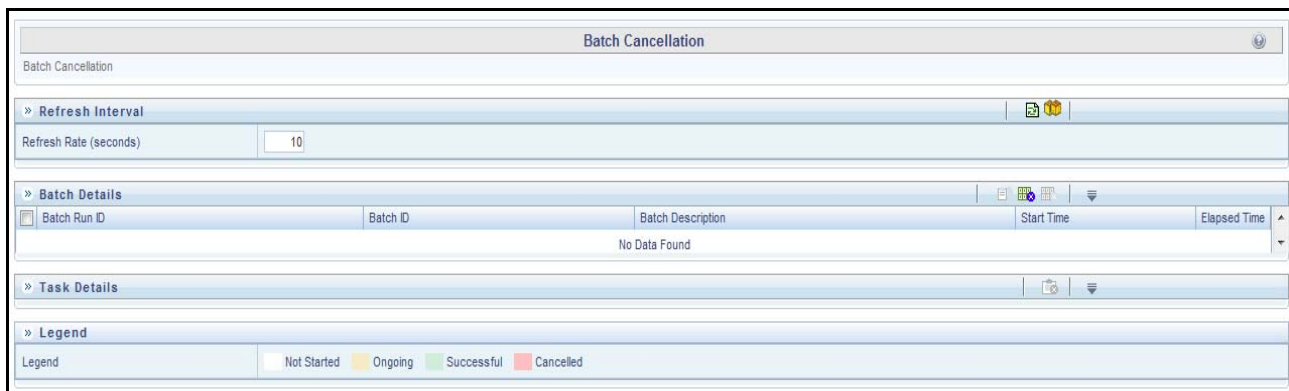
## ***Cancelling a Batch after Execution***

Cancellation of a batch cancels a current batch execution.

**Note:** This is not recommended and should be done only when the batch was fired accidentally or when a particular batch is taking too long time to execute.

To cancel a batch after it is executed, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Operations** from the LHS menu.
3. Click **Batch Cancellation**. The Batch Cancellation page is displayed.



**Figure 8. Batch Cancellation Page**

4. Under the Batch Details section, select the batch whose execution you want to cancel.
5. Click **Cancel Batch**.

## Re-starting a Batch

You can restart a batch execution when a batch has failed in execution. When you restart a batch, it starts from the task at which it had failed. This happens when the failed task issue is debugged and resolved.

**Note:** It is recommended that you debug and resolve a failed task before restarting the batch execution.

To restart a batch execution, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Operations** from the LHS menu.
3. Click **Batch Execution**. The Batch Execution page is displayed.
4. Select the **Restart** radio button option from the Batch Mode section.

**Figure 9. Re-starting a Batch**

5. Select the batch you want to restart from the Batch Details section.
6. Select the Information Date and Batch Run ID for the selected batch from the drop-down list.
7. Click **Execute Batch**.

## Re-running a Batch

You can rerun a batch execution when you want all the tasks from a successful batch execution to be executed again from the beginning. When a successfully executed batch is rerun, a different Batch Run ID is created for each instance for the same Information Date.

**Note:** Creating different Batch Run ID for each rerun of a batch is optional depending upon your firm's requirement.

To rerun a batch, follow these steps:

1. Login to Oracle Financial Services Analytical Applications Infrastructure as a FATCA Administrator user.
2. Expand **Operations** from the LHS menu.
3. Click **Batch Execution**. The Batch Execution page is displayed.
4. Select the **Rerun** radio button from the Batch Mode section.

The screenshot displays the 'Batch Execution' interface. At the top, there's a 'Batch Execution' header. Below it, the 'Batch Mode' section has three radio buttons: 'Run', 'Restart', and 'Rerun'. The 'Search' section includes fields for 'Batch ID Like' (containing 'AMNFORR2\_'), 'Batch Description Like', 'Module', and 'Last Modification Date'. The 'Batch Details' section shows a table with the following data:

| Batch ID               | Batch Description                 |
|------------------------|-----------------------------------|
| AMNFORR2_1405692971384 | AutoRun_1395677595549_Description |
| AMNFORR2_1405694268264 | AutoRun_1395677595549_Description |
| AMNFORR2_1405695393271 | AutoRun_1395677595549_Description |
| AMNFORR2_1405942846820 | AutoRun_1395677595549_Description |
| AMNFORR2_1405944134206 | AutoRun_1395677595549_Description |
| AMNFORR2_1405944751221 | AutoRun_1395677595549_Description |

The 'Task Details' section shows a table with the following data:

| Task ID | Task Description              | Metadata Value              | Component ID   | Precedence          | Task Status |
|---------|-------------------------------|-----------------------------|----------------|---------------------|-------------|
| Task1   | SOD_Upd_Process               | SOD_Upd_Process             | TRANSFORM DATA |                     | S           |
| Task2   | Populate_FATCA_Cust_RvwDtIs   | Populate_FATCA_Cust_RvwDtIs | LOAD DATA      | Task1               | S           |
| Task3   | Populate_FATCA_Acct_RvwDtIs   | Populate_FATCA_Acct_RvwDtIs | LOAD DATA      | Task2               | S           |
| Task4   | Upd_Acct_Proc_PreExisting     | 1394947869269               | RULE_EXECUTION | Task1, Task2, Task3 | S           |
| Task5   | Upd_Acct_Proc_New             | 1394949870053               | RULE_EXECUTION | Task4               | S           |
| Task6   | Upd_Acct_Proc_Existing        | 1394950765667               | RULE_EXECUTION | Task5               | S           |
| Task7   | Upd_Cust_RtId_Proc            | 1394951506483               | RULE_EXECUTION | Task6               | S           |
| Task8   | Upd_Cust_Proc_Existing        | 1394953667435               | RULE_EXECUTION | Task7               | S           |
| Task9   | Upd_Acct_RtId_Proc_Existing   | 1394955037584               | RULE_EXECUTION | Task8               | S           |
| Task10  | Upd_Cust_RtId_AttrFl_Existing | 1398068120077               | RULE_EXECUTION | Task9               | S           |

An 'Execute Batch' button is located at the bottom of the page.

Figure 10. Re-running a Batch

5. Select the batch you want to rerun from the Batch Details section.
6. Select the Information Date and Batch Run ID for the selected batch from the drop-down list.
7. Click **Execute Batch**.



For Excel Upload, follow these steps:

1. Login to OFS FATCA Regulatory Reporting as Admin user.
2. Navigate to **Unified Metadata Manager > Data Entry Forms and Queries > Excel Upload**. The Excel Upload page is displayed.

**NOTE:** After logging, make sure the OFS FATCA Regulatory Reporting application Information Domain is selected from the drop-down list at the left hand corner of the page.

3. Click **Browse** under the Excel File to Upload section.
4. Select any one of the Excel sheets.
5. Click on the **Arrow** next to Browse.
6. Preview the data created under the Preview section.
7. In the Excel - Entity Mappings section, click the Arrow.
8. Select the table name that is the same as the name of the Excel sheet.
9. Click **Upload**. The following message is displayed: *Successfully Uploaded Data*.
10. Click **OK**.

**NOTE:** If the upload fails, click on view logs button to check the logs.

11. Close the Excel Upload page.





